

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Weinstein et al.

Serial No.: 10/664,723

Filed: 9/17/2003

Title: CHEMICAL MECHANICAL

POLISHING COMPOSITIONS AND METHODS RELATING THERETO

Attorney Docket No.: 010005U2

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Group Art Unit:

1755

Examiner:

M. Marcheschi

## INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

- under 37 CFR 1.97(b), or
  (Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- X under 37 CFR 1.97(c) together with either a:
  - \_\_\_ Statement under 37 CFR 1.97(e), or
  - X a \$180.00 fee under 37 CFR 1.17(p), or

    (After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- \_\_ under 37 CFR 1.97(d) together with a:
  - \_\_\_ Statement under 37 CFR 1.97(e), and
  - \_\_ a \$180.00 fee set forth in 37 CFR 1.17(p).
    - (Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)
- X Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation listing patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

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The relevance of the listed references is that this is the closest art of which Applicant is aware. Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or the correspondence is being facsimile transmitted to the USPTO, on the date indicated below.

Date of Deposit: 11/14/64

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Date: November 16, 2004

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Complete if Known Substitute for form 1449A/PTO 10/664,723 **Application Number** INFORMATION DISCLOSURE Filing Date 9/17/02 STATEMENT BY APPLICANT First Named Inventor Weinstein et al. Art Unit 1755 (Use as many sheets as necessary) **Examiner Name** Marcheschi, M. Attorney Docket Number 010005U2 Sheet 1

			U.S. PATENT	DOCUMENTS	
Examiner	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Initials*		Number - Kind Code <sup>2 (if known)</sup>			
		6,326,299 B1	12-04-2001	Homma et al.	
		6,443,812 B1	05-13-2003	Costas et al.	
		6,561,883 B1	09/03/2002	Kondo et al.	
		6,562,719 B2	05-13-2003	Kondo et al.	
		6,585,568 B2	07-01-2003	Tsuchiya et al.	
		6,605,537 B2	08-12-2003	Bian et al.	
		6,620,037 B2	09-16-2003	Kaufman et al.	
		6,632,259 B2	10-14-2003	Weinstein et al.	
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Τ <sup>6</sup>	
***************************************		EP 0 913 442 A2	05-06-1999	Hitachi, Ltd.			
				**************************************			
	1						

Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language

Translation is attached.
This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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